



PATENT

I hereby certify that this correspondence is being faxed to Examiner James Zurita at the U.S. Patent and Trademark Office at (571) 273-2885 on the following date: JANUARY 28, 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Pienkos, John T.
Serial No.: 09/724,744
Filed: November 28, 2000
For: METHOD AND SYSTEM FOR FACILITATING THE TRANSFER OF
INTELLECTUAL PROPERTY
Grp. Art Unit: 3625

**SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The Applicant recently received the enclosed documents and, although the Applicant suspects that the information in the documents is substantially duplicative of information previously submitted to the Patent Office in connection with the present Application, the Applicant in the interests of meeting the duty of disclosure nevertheless is submitting the documents herewith.

In particular, the enclosed documents are being submitted in the above-identified Application in compliance with 37 CFR 1.97 & 1.98:

- ☐ (1) Pursuant to 37 CFR 1.97(b)
(within 3 months of filing or prior to mailing of 1st Office Action)
- ☐ (2) Certification Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ (3) Fee Payment Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☒ (4) Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) (before or on issue fee payment)
- ☒ (5) A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ (6) 37 CFR 1.97(b) (within 3 months of filing of prior to 1st Office Action)

02 FC:1005

100.03 OP

- ☐ (7) 37 CFR 1.97(c) (before Final Office Action or Allowance, whichever is earlier); and
- ☐ (8) The required certification made in item 11 below; or
- ☐ (9) The \$240.00 fee specified in 36 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☒ (10) 37 CFR 1.97(d) (before or on issue fee payment); and
- ☒ [a] This is a petition for consideration of the subject Information Disclosure Statement. The petition fee of \$180.00 required by 37 CFR 1.17(p) is authorized in item 14 below.
- ☒ [b] The required Certification is stated in item 11 below.
- ☒ (11) Certification
- ☐ (12) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☒ (13) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ (14) Payment of the petition fee of \$180.00 required by 37 CFR 1.17(p) by credit card is hereby authorized. Form PTO-2038 is attached.

Respectfully submitted,

Date:

1/28/07

By:



John T. Pienkos
(414) 228-6881



PTO/SB/08b (09-06)

Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete If Known	
		Application Number	09/724,744
		Filing Date	11/28/00
		First Named Inventor	PIENKOS, JOHN T.
		Art Unit	3625
		Examiner Name	ZURITA, JAMES H.
Sheet 1 of 4	Attorney Docket Number	-	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	-	"The Making of a cash machine", Intellectual Asset Management, Dec./Jan. 2007, pp. 33-8	
	-	web pages from the U.S. Patent and Trademark Office website regarding listing of patents for sale or license in the Official Gazette (OG), obtained at www.uspto.gov, printed on January 19, 2007, 3 pages.	
	-	web pages of Shop4patents.com, obtained at www.shop4patents.com, printed on January 19, 2007, 2 pages	
	-	web pages of Free Patent Auction, obtained at www.freepatentauction.com, printed on January 19, 2007, 4 pages	
	-	web pages of Idea Trade Network, obtained at www.newideatrade.com, printed on January 19, 2007, 3 pages	
	-	"New Lawsuits", Techno Bytes, Intellectual Property Today, May, 2005, page 18	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 808. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.87 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

4

Complete if Known

Application Number

09/724,744

Filing Date

11/28/00

First Named Inventor

PIENKOS, JOHN T.

Art Unit

3625

Examiner Name

ZURITA, JAMES H.

Attorney Docket Number

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NON PATENT LITERATURE DOCUMENTS

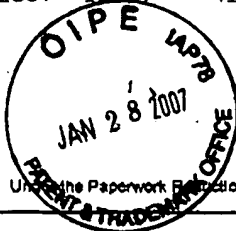
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	—	"The Copyright & Patent Wars", Jim Pinto, obtained at www.automation.com , printed on January 18, 2007, original publication date unknown, 4 pages	
	—	"6E Fancv Settles W/tx Solai'a Over '318 patent", obtained at www.managingautomation.com , dated March 10, 2005, printed on January 18, 2007, 2 pages	
	—	"Ebay v. Merc Exchange: The Law of Patent Injunctions", obtained at patentlaw.typepad.com/potenti , dated 3/14/06, printed 1/22/07, 10 pgs.	
	—	"Delphion Updates Web Site, Enables Access to Derwent World Patents Index", Nancy Lambert, dated March 19, 2001, obtained at newsbreaks.infotoday.com , printed	
	—	"Available Patents and Licensing Information", obtained at floridatatlantic.org , printed on January 22, 2007, 3 pages	

Examiner
SignatureDate
Considered

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

3

of

4

Complete if Known

Application Number	09/721,714
Filing Date	11/28/00
First Named Inventor	PIENKOS, JOHN T.
Art Unit	3625
Examiner Name	ZURITA, JAMES H.
Attorney Docket Number	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	-	webpages of Davison, Inc., obtained at www.davison54.com, printed on January 23, 2007, 4 pages.	
	-	web page of M-CAM, Inc., obtained at www.m-cam.com, printed on January 22, 2007, 1 page	
	-	"The Capital Song - What's the state of capital in the state capital?", dated May 17, 1999, obtained at www.dominionpartners.com, printed on January 22, 2007, 4 pages	
	-	biography of Dr. David E. Martin, speaker at "Ten Years Hence" speaker series at Univ. of Notre	
	-	"Measuring Innovation: ipiQ Dame, obtained at www.nd.edu, Releases the 2006 Patent printed on January 22, 2007, 2pgs	
		Scorecard," dated May 10, 2006, obtained at www.prweb.com, printed on January 23, 2007, 2pgs.	
	-	"iPotential Launches Patent Brokerage Services, Bringing Liquidity to Patent Marketplace", BusinessWire, dated July 27, 2005, obtained at www.findarticles.com, printed on January 23, 2007, 2pgs	
	-	"Get it now from Ebay, hostage to the patent trolls", Patti Waldmeir, FT.com, dated March 16, 2006, printed 1/23/07, 2pgs	

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Signature

 Date
Considered

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Substitute for form 1449B/PTD INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete If Known	
Application Number				09 / 724, 744	
Filing Date				11/28/00	
First Named Inventor				PIENKOS, JOHN T.	
Art Unit				3625	
Examiner Name				ZURITA, JAMES H.	
Attorney Docket Number					
Sheet	4	of	4		

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique design designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1450.

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